

**Republic of Serbia
Ministry of Health
Noncommunicable Diseases
Prevention and Control Project (P180619)**

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

(Draft version) September 28, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Serbia (the Borrower) will implement the Noncommunicable Diseases Prevention and Control Project (the Project), with the involvement of the Project Coordination Unit of the Ministry of Health (MoH), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the Bank), has agreed to provide financing (P180619) for the Project, as set out in the referred agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower, through the MoH's PCU, and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Borrower's Minister of Finance. The Borrower shall promptly disclose the updated ESCP.

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) – Serbia Noncommunicable Diseases Prevention and Control Project

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	REGULAR REPORTING: Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, the status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.	Submit six-monthly reports to the Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the Bank no later than 30 days after the end of each reporting period.	MoH
B	INCIDENTS AND ACCIDENTS: Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate. Subsequently, at the Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.	Notify the Bank no later than 48 hours after learning of the incident or accident. Provide subsequent reports to the Bank within a timeframe acceptable to the Bank	MoH
C	CONTRACTORS’ AND PROJECT SUPERVISOR’S MONTHLY REPORTS: (i) Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts, and submit such reports to the Bank. (ii) Submit these reports to the Bank, upon the Bank’s request.	Submit the monthly reports to the Bank as annexes to the reports to be submitted under action A above. Submit the monthly reports to the Bank upon request.	MoH
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE: (i) Rely on its existing structure and the Project Coordination Unit (PCU) established to implement the Bank supported Second Serbia Health Project SSHP, which shall assume the responsibility for project implementation. (ii) Recruit one part-time environmental specialist and one part-time social and citizen engagement specialist	Throughout Project implementation. Within one month as of the Effective Date, and thereafter maintain these positions throughout Project implementation.	MoH
1.2	ENVIRONMENTAL AND SOCIAL INSTRUMENTS:		MoH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>1. Prepare, disclose, consult, adopt and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs, including to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project. This includes maintaining multiple channels for accessing services, including traditional in-person methods to ensure all patients have access to health services regardless of their technological access and literacy levels.</p> <p>2. Prepare, disclose, consult, adopt and implement any environmental and social management plans, instruments or other measures required for the respective Project activities, acceptable to the Bank, based on the assessment process, including site-specific Environmental and Social Management Plans (ESMPs) and any other site-specific E&S instrument that may be required (ESMP checklists, Cultural Heritage Management Plan (CHMP), Traffic Management Plan (TMP), Waste Management Plan (WMP), and other relevant instruments), in accordance with the ESSs, the ESMF, the EHSs, and other relevant Good International Industry Practice (GIIP), including relevant WHO guidelines to, inter alia, ensure access to and allocation of Project benefits in a fair, equitable and inclusive manner, taking into account the needs of individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable. The proposed activities described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</p>	<p>1. Adopt the ESMF prior to Appraisal, and thereafter implement the ESMF throughout Project implementation.</p> <p>2. Prepare, disclosed and adopted instruments before beginning the relevant Project activities, and thereafter implement such instruments throughout the execution of such activities.</p>	
1.3	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>As part of the preparation of procurement documents and respective contracts.</p> <p>Supervise contractors throughout Project implementation.</p>	MoH
1.4	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies capacity building, training, and any other technical assistance activities under the Project including, inter alia, drafting of updated regulations, policies and strategies including the national programs for prevention and control of NCDs, implementation of the National Health Care Quality Improvement Plan, good practice guidelines and clinical pathways for NCDs, and improvement in quality of primary prevention of NCDs through targeted behavior change campaigns, are carried out in accordance with terms of reference acceptable to the Bank, that are consistent with terms of reference acceptable to the Bank. Thereafter ensure that the outputs of</p>	<p>Throughout Project implementation.</p>	MoH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	such activities including, inter alia, any environmental and social assessment and related environmental and social instruments, are consistent with the terms of reference.		
1.5	<p>MANAGEMENT OF DATA SECURITY AND DATA PRIVACY</p> <p>Conduct all digitalization activities involving Personal Data in compliance with (i) the Personal Data protocols that are part of the POM; (ii) international standards and best practices that safeguard individual data, privacy, and user rights; and (iii) the Borrower’s Law on Patients’ Rights and Law on Personal Data Protection.</p> <p>Introduce measures in ESMP to ensure that health care providers collect, store, transmit, and disclose Personal Data (including medical data) in a standardized manner consistent with the (i) the Personal Data protocols part of the POM; (iii) international standards and best practices that safeguard individual data, privacy, and user rights; and (iv) duty of care expected of health care providers pursuant to the Borrower’s Law on Patients’ Rights and Law on Personal Data Protection.</p> <p>Engage and contract (i) a part time data protection specialist (with experience in the health sector preferred); and (ii) a part time cyber/digital security specialist (with experience in the health sector preferred); both of whom shall be in charge of safeguarding Personal Data by conducting digital security protection activities and incorporating relevant requirements into ESMP/checklist.</p>	<p>Throughout Project implementation</p> <p>Prior to contract bidding and thereafter implement throughout Project implementation.</p> <p>Within one month as of the Effective Date, and thereafter maintain this position throughout Project implementation.</p>	MoH
1.6	<p>CONTINGENT EMERGENCY RESPONSE COMPONENT</p> <ol style="list-style-type: none"> Ensure that the CERC Manual as specified the legal agreement includes a description of the ESHS assessment and management arrangements for the implementation of Project Component 5: Contingency Emergency Response, in accordance with the ESSs. Adopt any environmental and social (E&S) instruments which may be required for activities under Component 5: Contingency Emergency Response of the Project, in accordance with the CERC Manual and the ESSs, and thereafter implement the measures and actions required under said E&S instruments, within the timeframes specified in said E&S instruments. Engage an experienced consultant to support the preparation the CERC Manual and required ES instruments. 	<ol style="list-style-type: none"> The adoption of the CERC Manual, in form and substance acceptable to the Bank, is a withdrawal condition under Section [III.B.1(c)] of Schedule 2 of the Loan Agreement for the Project. Adopt any required E&S instrument and include it as part of the respective bidding process, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation. 	MoH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES : Prepare, disclose, consult upon, adopt, and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.	Adopt the LMP prior to Appraisal, and thereafter implement the LMP throughout Project implementation.	MoH
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	MoH
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLAN Adopt and implement a Waste Management Plan (WMP), to manage hazardous and non-hazardous wastes, consistent with ESS3. Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to manage health care wastes and other types of hazardous and non-hazardous wastes	Prepare WMP, acceptable to MoH, prior to work commencement, and thereafter implement the WMP throughout Project implementation.	Contractor
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and management measures in the ESMP to be prepared under action 1.2 above. Include in the ESMF (i) measures and actions that comply with EE requirements for hardware components; and (ii) provisions of e-waste management to ensure compliance with applicable regulations, notably the World Bank Group EHS Guidelines and the European Union Energy Efficiency and Waste from Electrical and Electronic Equipment directives.	Same timeframe as for the adoption and implementation of the ESMP Same timeframe as for the adoption and implementation of the ESMF	MoH MoH
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY 1. Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action above. 2. Cause Contractors to prepare traffic management plans, if called for, in the site specific ESMP	1. Same timeframe as for the adoption and implementation of the ESMP. 2. Prior to the commencement of works	MoH MoH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>Assess and manage specific risks and impacts to the community arising from Project activities, and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF.</p>	Same timeframe as for the adoption and implementation of the ESMPs.	MoH
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
	This standard is not relevant		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
	Prepare and adopt the Environmental Assessment Reports (ESIA, ESMP or ESMP Checklist), in accordance with ESS6 requirements and in a manner acceptable to the Bank, to address biodiversity risks and impacts.	Prior to the bidding of contracts and thereafter implement throughout Project implementation.	MoH
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	This standard is not relevant		
ESS 8: CULTURAL HERITAGE			
8.1	<p>CULTURAL HERITAGE RISKS AND IMPACTS</p> <p>Adopt and implement a Cultural Heritage Management Plan (CHMP), for all works with identified risks to cultural heritage, as part of the Environmental Assessment Reports (ESIA or ESMP), consistent with ESS8.</p>	Adopt the CHMP prior to contract bidding, and thereafter implement the CHMP throughout Project implementation.	MoH
8.2	<p>CHANCE FINDS</p> <p>Describe and implement the chance finds procedures, as part of the ESMF of the Project.</p> <p>Include chance finds procedures in all contracts involving any works under the project.</p>	<p>Adopt the ESMF prior to Appraisal, and thereafter implement the ESMF throughout Project implementation.</p> <p>Prior to the bidding and implemented throughout Project Implementation.</p>	MoH
ESS 9: FINANCIAL INTERMEDIARIES			
	This standard is not relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Prepare, disclose, consult upon, adopt, and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide Project stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.</p>	Adopt the SEP prior to Appraisal and thereafter implement the SEP throughout Project implementation.	MoH
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate the resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish the grievance mechanism prior to commencement of Project activities, and thereafter maintain and operate the mechanism throughout Project implementation.	MoH
CAPACITY SUPPORT (TRAINING)			
CS1	<p>Provide trainings for relevant PCU staff and other MoH staff responsible for the Project and relevant stakeholders regarding:</p> <ul style="list-style-type: none"> - SEP, - ESMF, - Familiarization with the Bank’s ESF and EHS Guidelines. - Environmental Assessment Reports preparation, implementation, monitoring and reporting (ESMPs, ESMP Checklists), - LMP oversight, - OHS and community health safety - Emergency response and preparedness - How to address SEA/SH complaints - SEA/SH awareness training - Digital inclusion of vulnerable and disadvantaged populations. <p>and other topics relevant to the Project</p>	Starting from the Effective Date, throughout Project Implementation, at minimum once a year.	MOH and Bank
CS2	Provide training to contractors on implementation of environmental and social requirements defined by the documents ESMF, ESMP, ESMP Checklist.	Before the commencement of works and throughout the Project implementation.	MoH

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CS3	Provide training on data protection matters to PCU staff and other MoH staff who are likely to process Personal Data.	Starting from the Effective Date, throughout Project Implementation, at minimum once a year.	MoH